



Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
DP-302454

In Re Application Of: GREGORY T. ROTH

Serial No.  
09/747,784

Filing Date  
DECEMBER 21, 2000

Examiner

Group Art Unit

Invention: INTEGRATED CATALYTIC CONVERTER AND FLEXABLE ENDCONE ASSEMBLY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

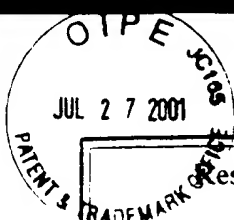
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
February 26, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Docket Number and Title



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09/747,784	DECEMBER 21, 2000		

Invention: INTEGRATED CATALYTIC CONVERTER AND FLEXABLE ENDCONE ASSEMBLY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 3 \_\_\_\_\_

☒ Total number of claims = 19 \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$840.00

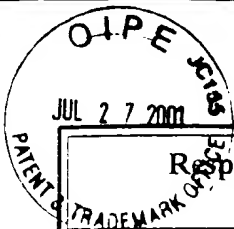
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$840.00



Response To Notice To File Missing Parts Of Application  
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Docket No.  
DP-302454

In Re Application Of: GREGORY T. ROTH

Serial No.  
09/747,784

Filing Date  
DECEMBER 21, 2000

Examiner

Group Art Unit

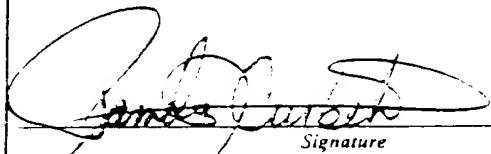
Invention: INTEGRATED CATALYTIC CONVERTER AND FLEXABLE ENDCONE ASSEMBLY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$840.00 is to be paid as follows:

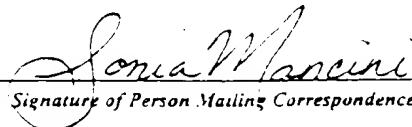
- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0831  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

Pamela J. Curbelo  
Registration No. 34,676  
Customer No. 23413  
(860) 286-2929

Dated: March 26, 2001

certify that this document and fee is being deposited  
on March 26, 2001 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.3 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Sonia Mancini

Typed or Printed Name of Person Mailing Correspondence

CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: GREGORY T. ROTH )  
SERIAL NUMBER: 09/747,184 ) Group Art Unit:  
FILED: DECEMBER 21, 2000 ) Examiner: N/A  
FOR: INTEGRATED CATALYTIC )  
CONVERTER AND FLEXABLE )  
ENDCONE ASSEMBLY )

**RESPONSE TO NOTICE OF INCOMPLETE REPLY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is submitted in response to the Notice of Incomplete Reply dated June 14, 2001. The Notice of Incomplete Reply was issued erroneously; as the declaration was filed along with the Response to Notice to File Missing Parts of an Application on March 26, 2001.

Enclosed herewith please find:

- 1.) A copy of the Notice of Incomplete Reply - **(REQUIRED)**
- 2.) A copy of the Declaration as originally filed with the Response to Notice to File Missing Parts of an Application on March 26, 2001.
- 3.) A copy of the postcard as dated and returned to our office by the U.S. Patent and Trademark Office, which indicates that a Declaration was included in the Response to Missing Parts of an Application package.



- 4.) A copy of the Response to the Notice to File Missing Parts of an Application, which was filed with the U.S. Patent and Trademark Office on March 26, 2001.
- 5.) A copy of the facsimile confirmation sheet establishing that upon receipt of the Notice of Incomplete Reply the U.S. Patent and Trademark Office was promptly contacted to rectify the problem.

**REMARKS**

Since the Response to Missing Parts of an Application did include all required items, Applicants hereby petition that the Response to Missing Parts of an Application be entered as timely filed on March 26, 2001.

Applicant believes that no fee is due, as the Notice of Incomplete Reply was issued erroneously. However, if there are any additional charges with respect to this Response, including but not limited to, any fees for extension(s) of time, or petition fees pursuant to 37 C.F.R. §1.16 or 37 C.F.R. §1.17, or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicant's Attorneys.

Respectfully submitted,

GREGORY T. ROTH

CANTOR COLBURN LLP  
Applicant's Attorneys

By: \_\_\_\_\_

Pamela J. Curbelo  
Registration No. 34,676  
Customer No. 23413

Date: July 24, 2001  
Telephone: (860) 286-2929

03CO

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>		Docket No. DP-302454	
Applicant(s): GREGORY T. BLOTH			
Serial No. 09/747,184	Filing Date DECEMBER 21, 2000	Examiner	Group Art Unit
Invention: INTEGRATED CATALYTIC CONVERTER AND FLEXABLE ENDCONE ASSEMBLY			
<p>I hereby certify that this <u>RESPONSE TO INCOMPLETE REPLY</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>JULY 24, 2001</u> <i>(Date)</i></p> <p><u>SONIA MANCINI</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p><u><i>Sonia Mancini</i></u> <i>(Signature of Person Mailing Correspondence)</i></p> <p><b>Note: Each paper must have its own certificate of mailing.</b></p>			



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,184	12/21/2000	Gregory Thomas Roth	DP-302454

CONFIRMATION NO. 5547

## FORMALITIES LETTER



\*OC000000006183109\*

Vincent A. Cichosz  
DELPHI TECHNOLOGIES, INC.  
1450 West Long Lake  
Troy, MI 48007

Date Mailed: 06/14/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 03/28/2001 to the Notice to File Missing Parts (Notice) mailed 02/26/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain **EXTENSIONS OF TIME** under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent **ABANDONMENT** of the above-identified application.

- The oath or declaration is unsigned.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF

Attorney Docket: DP-302454 (DEP-0111)

Date Mailed: MARCH 26, 2001

Applicant: GREGORY T. ROTH

Serial Number: 09/747,184 Date Filed: DECEMBER 21, 2000

For: INTEGRATED CATALYTIC CONVERTER AND  
FLEXABLE ENDCONE ASSEMBLY

\*\*\*\*\*  
Response to Notice to file Missing Parts (3 pages); Copy of Notice to  
File Missing Parts (1 page); Declaration (1 page); Certificate of Mailing  
Post Card Receipt

First Class Mailed to USPTO on: MARCH 26, 2001

Received in the USPTO on:







## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,184	12/21/2000	Gregory Thomas Roth	

CONFIRMATION NO. 5547

## FORMALITIES LETTER



\*OC000000005797076\*

CANTOR COLBURN LLP  
55 Griffin Road South  
Bloomfield, CT 06002

Date Mailed: 02/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

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*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division 703) 308-4202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF

Attorney Docket: DP-302454 (DEP-0111)

Date Mailed: MARCH 26, 2001

Applicant: GREGORY T. ROTH

Serial Number: 09/747,134 Date Filed: DECEMBER 21, 2000

For: INTEGRATED CATALYTIC CONVERTER AND  
FLEXABLE ENDCONE ASSEMBLY

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Response to Notice to file Missing Parts (3 pages); Copy of Notice to  
File Missing Parts (1 page); Declaration (1 page); Certificate of Mailing;  
Post Card Receipt

First Class Mailed to USPTO on: MARCH 26, 2001

Received in the USPTO on: